

# Parallel Machine Problems with Equal Processing Times: A Survey

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**Abstract** The basic scheduling problem we are dealing with is the following. There are  $n$  jobs, each requiring an identical execution time. All jobs have to be processed on a set of parallel machines. Preemptions can be either allowed or forbidden. The aim is to construct a feasible schedule such that a given criterion is minimized. For a couple of problems of this type, recently the complexity status has been solved and several interesting results have been presented. In this paper, we survey existing approaches for the problem class considered.

## 1 Introduction

We consider the following basic scheduling problem. There are  $n$  jobs, denoted by  $J_1, J_2, \dots, J_n$ , each requiring an identical execution time  $p$ . With each job  $J_j, j = 1, \dots, n$ , there can be associated a release time  $r_j$ , a due date  $d_j$ , a deadline  $D_j$  and/or a weight  $w_j$ . All jobs have to be processed on a set of  $m$  identical machines. Each machine can process only one job at a time. During the processing of the jobs, preemptions can be allowed or forbidden. In the first case, the processing of any job once started has to be completed without any interruptions. In the second case, the processing of any operation may be interrupted and resumed later, possibly on another machine. The objective is to construct a feasible schedule so as to minimize a given criterion. In this paper, we discuss several solution approaches for the problem considered and expose problems with an open complexity status. For the interested readers, we can recommend in addition the collections of results from [8] and [15]. Here, we do not consider approximate and online algorithms. We refer the interested reader e.g. to the papers [14] and [19].

This survey is organized as follows. In Section 2 we review most important results on problems without preemptions. Then we survey the results on preemptive problems

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in Section 3. In Section 4 we present an overview, where we refer to the 2-digit and 3-digit numberings of the particular results in the text.

## 2 Problems without preemptions

Whereas the overwhelming majority of scheduling problems appears to be NP-hard, problems with equal processing time jobs form a remarkable case which is still open for most problems. Intuitively, such problems look polynomially solvable.

Due to the possibility to enumerate the possible places of the jobs in an optimal schedule, the model reminds an assignment problem. Therefore, one can intuitively suppose the existence of a polynomial algorithm for any monotonic criterion. Nevertheless, since prime conflicts are caused by overlapping intervals most of the problems have an open complexity status.

A special case is the model with  $p = 1$ . For most criteria, this model can be solved by a network flow algorithm. However, this approach cannot be applied to the general model with arbitrary  $p$  since, in the case of  $p = 1$ , the main conflicts among overlapping places for processing jobs disappear. We refer the reader to [3] for a survey.

### 2.1 Classical criteria

**2.1.1** If the processing times can be arbitrary, problem  $1|r_j, D_j|-$  is unary NP-hard by a polynomial reduction from the 3-partition problem, see [30].

**2.1.2** In [18], an  $O(n \log n)$  algorithm has been proposed for problem  $1|r_j, p_j = p, D_j, prec| C_{max}$ . More precisely, the authors consider a problem with unit processing times and arbitrary rational release dates and deadlines. However, by an appropriate scaling one can show that these two models are equivalent. They show that it is possible to modify the release times and deadlines so as to reflect the partial order (i.e., for the problem considered the constraint *prec* is irrelevant) by assigning  $r_j := \max\{r_j\} \cup \{r_i + 1 \mid T_i \prec T_j\}$  and  $d_j := \min\{d_j\} \cup \{d_i - 1 \mid T_j \prec T_i\}$ . After such an assignment condition,  $T_i \prec T_j$  implies  $r_i < r_j$  and  $d_i < d_j$ . By straightforward interchange arguments, one can show that any schedule for problem  $1 \mid r_j, p_j = p, D_j \mid C_{max}$  can be transformed into a schedule for problem  $1 \mid r_j, p_j = p, D_j, prec \mid C_{max}$  without changing the  $C_{max}$ -value.

**2.1.3** To solve problem  $1 \mid r_j, p_j = p, D_j \mid C_{max}$ , the concept of forbidden regions (intervals) has been proposed (see [18]), i.e., open intervals where no job can start. The set of all forbidden regions is formed iteratively. The main observation used is the following. Assume that one knows the set of forbidden regions in the interval  $[r_i, D_j]$ , and let  $J_1, \dots, J_k$  be the set of jobs with release times and deadlines from the interval  $[r_i, D_j]$ . Now we set  $r_1 = \dots = r_k = r_i$  and  $D_1 = \dots = D_k = D_j$  and find the largest value of  $e$  such that all jobs  $J_1, \dots, J_k$  can be scheduled in  $[e, D_j]$  under the condition that no job can start in a forbidden interval. Now, if  $r_i \leq e < r_i + 1$ , then  $]e - 1, r_i[$  is declared as a forbidden region, since in any feasible schedule a job starting in  $]e - 1, r_i[$  is not from  $\{J_1, \dots, J_k\}$  and hence, the set  $\{J_1, \dots, J_k\}$  is finished after  $D_j$ . After forming the set of all forbidden regions, the implementation of the earliest deadline scheduling rule gives an optimal schedule.

**2.1.4** In [39], it has been shown that problem  $P|p_j = 1, D_j, prec|-$  is unary NP-hard by a polynomial reduction from the 3-satisfiability problem.

**2.1.5** In [31], the authors have shown that even problem  $P \mid p_j = 1, D_j = 3, prec \mid -$  is unary NP-hard. Note that problem  $P \mid p_j = 1, D_j = 2, prec \mid -$  can be polynomially solved in  $O(n)$  time.

**2.1.6** The important question about the complexity status of problem  $P3 \mid p_j = 1, prec \mid C_{max}$  is still open whereas in [17], problem  $P2 \mid p_j = 1, prec \mid C_{max}$  was solved in  $O(n^3)$  time by a reduction of the problem to the maximum matching problem.

**2.1.7** In [7], an  $O(n \log n)$  algorithm has been proposed for problem  $P \mid r_j, p_j = p, outtree \mid C_{max}$  and it has been shown that problem  $P \mid r_j, p_j = p,intree \mid C_{max}$  is unary NP-hard.

**2.1.8** In [34], a polynomial algorithm with complexity  $O(n^3 \log \log n)$  has been developed for problem  $P \mid r_j, p_j = p, D_j \mid -$ . The algorithm is based on an analysis of the structural properties of an optimal schedule. The main features of the optimal structure used in that paper are the following:

1. Any schedule is completely defined by the set of time slots  $(1, t_1), (2, t_2), \dots, (n, t_n)$ , where  $i = 1, \dots, n$  is the slot number and  $t_i$  is the starting time of slot  $i$ .
2. If we know the set of all occupied time slots, then an optimal schedule can be constructed by the earliest deadline scheduling procedure.
3. If the earliest deadline scheduling procedure generates a sequence  $(1, t_1), \dots, (k, t_k)$ , such that job  $J_l$  processed in  $(k, t_k)$  is late, then job  $J_l$  cannot be scheduled in  $(k, t_k)$  and has to be scheduled earlier. To provide this, one of the slots  $(1, t_1), \dots, (k-1, t_{k-1})$  has to be pulled right. To this end, Simons chooses the closest to  $(k, t_k)$  slot which is occupied by a job whose deadline exceeds  $D_l$ .

It has been shown that the use of these principles leads to the construction of an optimal schedule for problem  $P \mid r_j, p_j = p, D_j \mid -$ . The same algorithm solves the problems  $P \mid r_j, p_j = p, D_j \mid C_{max}$  and  $P \mid r_j, p_j = p, D_j \mid \sum C_j$ .

**2.1.9** In [36], an  $O(mn^2)$  time algorithm was proposed for problems  $P \mid r_j, p_j = p, D_j \mid -$ ,  $P \mid r_j, p_j = p, D_j \mid C_{max}$ , and  $P \mid r_j, p_j = p, D_j \mid \sum C_j$ . The algorithm is substantially based on the ideas from [18] and [34].

**2.1.10** In [10], the following linear programming formulation was proposed for problem  $P \mid r_j, p_j = p, D_j \mid -$ :

$$\sum_{i=1}^z x_{ji} = p, \quad j = 1, \dots, n \quad (1)$$

$$\sum_{j=1}^n x_{j,i+1} + \dots + \sum_{j=1}^n x_{j,i+y} \leq mp, \quad i = 0, \dots, z-y \quad (2)$$

$$x_{ji} = 0 \text{ if } I_i \not\subseteq [r_j, D_j], \quad i = 1, \dots, z, \quad j = 1, \dots, n \quad (3)$$

$$0 \leq x_{ji} \leq p, \quad i = 1, \dots, z, \quad j = 1, \dots, n \quad (4)$$

Here  $x_{ji}$  is equal to the amount of job  $J_j$  processed in the interval  $I_i$ , where  $\{I_i \mid i \in \{1, \dots, z\}\} = \{[r_j + kp, r_j + kp + p] \mid k \in \{\dots, -1, 0, 1, \dots\}\}$ , and  $y = \max\{k \mid I_{i+1} \cap \dots \cap I_{i+k} \neq \emptyset, i \in \{0, \dots, z-k\}\}$ . In the above system, the polyhedron (1) and for each  $i = 0, \dots, z-y$ , with  $i+ey \leq z$ , the polyhedron (2) are integer. Nevertheless, their intersection is not an integer polyhedron and therefore, the obtained solution is not necessarily integer. Using an obtained solution, one can construct an optimal schedule in two equivalent ways, namely:

1. It is possible to find the intervals which are occupied in a feasible schedule. Then the earliest deadline scheduling procedure generates an optimal schedule.

2. It is possible to transform the obtained solution into the form  $x_{ji}^* \in \{0, p\}$ . The obtained vector gives an optimal solution for problem  $P | r_j, p_j = p, D_j | -$ .

In both cases, the following property of an optimal solution holds: If  $k = \min\{i | x_{ji}^* \neq 0, j = 1, \dots, n\}$  for the optimal solution, then the time slot  $I_k$  is occupied in an optimal schedule.

**2.1.11** Problem  $P|r_j, p_j = p, q_j|C_{max}$  was considered in [40]. Here  $q_j$  is the delivery time of job  $J_j$ . The delivery takes no machine time but after being processed on the machine, any job requires a delivery time for its completion. Thus, for any job  $J_j$  the completion time  $C_j$  equals  $t_j^s + p + q_j$ , where  $t_j^s$  is the starting time of the processing of job  $J_j$ , whereas the machine on which job  $J_j$  is scheduled processes this job in the time interval  $[t_j^s, t_j^s + p]$ . In [40], a pseudopolynomial algorithm with complexity  $O(q_{max}mn \log n + O(mkn))$  was proposed for problem  $P|r_j, p_j = p, q_j|C_{max}$ , where  $k < n$  holds.

Note that problem  $P|r_j, p_j = p, q_j|C_{max}$  can be solved by the polynomial algorithm developed in [24] for problem  $P | r_j, p_j = p, D_j | \max \varphi_j(C_j)$ , where  $\varphi_j(C_j)$  is the cost associated with job  $J_j$  completed at time  $C_j$ .

Thus, to solve problem  $P|r_j, p_j = p, q_j|C_{max}$  it is sufficient to solve problem  $P | r_j, p_j = p, q_j | \max\{C_j + q_j\} \leq F$  for some  $F$ , where  $F = D(I_i)$  for some  $i \in \{1, \dots, z\}$  and  $D(I_i)$  is the right endpoint of interval  $I_i$ . From [24], it follows that problem  $P | r_j, p_j = p, q_j | \max\{C_j + q_j\} \leq F$  is equivalent to the following feasibility problem:

$$\begin{aligned} \sum_{i=1}^z x_{ji} &= p, \quad j = 1, \dots, n \\ \sum_{j=1}^n x_{j,i+1} + \sum_{j=1}^n x_{j,i+2} + \dots + \sum_{j=1}^n x_{j,i+y} &\leq mp, \quad i = 0, \dots, z-y \\ x_{ji} &= 0 \text{ if } R(I_i) < r_j \quad i = 1, \dots, z, \quad j = 1, \dots, n \\ x_{ji} &= 0 \text{ if } D(I_i) + q_j > F \\ x_{ji} &\geq 0, \quad i = 1, \dots, z, \quad j = 1, \dots, n \end{aligned}$$

Here  $R(I_i)$  is the left endpoint of the interval  $I_i$ .

**2.1.12** It has been shown in [10] that, to solve problem  $P|r_j, p_j = p, D_j | \sum C_j$ , it is sufficient to solve the following linear programming problem:

$$\text{Minimize } \sum_{i=1}^z \sum_{j=1}^n D(I_i) x_{ji}$$

subject to (1), (2), (3), (4).

**2.1.13** In [16], the proposed linear programming formulation for the above problem was transformed by means of the substitution  $y_t = \sum_{s=1}^t \sum_{j=1}^n x_{js}$  into the following form:

$$\begin{aligned} \text{Minimize } \sum_{i=1}^z D(I_i)(y_i - y_{i-1}) \\ \text{subject to } y_z - y_0 &= n \\ y_i - y_{i-1} &\geq 0, \quad i = 1, \dots, z \end{aligned}$$

$$y_i - y_{i-y+1} \leq m, \quad i = y, \dots, n$$

$$y_0 = 0.$$

The authors have shown that this model can be solved in  $O(n^4)$  time.

**2.1.14** To solve problem  $P|r_j, p_j = p | \sum w_j C_j$ , it is sufficient to solve the following linear program (see [10]):

$$\text{Minimize } \sum_{i=1}^z \sum_{j=1}^n w_j D(I_i) x_{ji}$$

subject to (1), (2), (3), (4).

Note that for problem  $P|r_j, p_j = p | \sum w_j C_j$  as far as for the next problem  $P|r_j, p_j = p | \sum T_j$ , we introduce a  $D_j$ -value for each job  $J_j$  by setting  $D_j = \max_j \{r_j\} + np$ .

In [11], it has been shown that, in order to solve problem  $P|r_j, p_j = p | \sum T_j$ , it is sufficient to

$$\text{Minimize } \sum_{i=1}^z \sum_{j=1}^n \max\{0, D(I_i) - d_j\} x_{ji}$$

subject to (1), (2), (3), (4).

**2.1.15** In [2], a polynomial time algorithm with the complexity  $O(n^{6m+1})$  was proposed for problem  $Pm | r_j, p_j = p | \sum w_j U_j$ . The algorithm is based on the following observations and definitions:

1. It is possible to restrict the set of starting times by  $\{r_j + zp \mid z \in \{0, \dots, n\}, j \in \{0, \dots, n\}\}$ .
2. If the set of time slots  $(1, t_1), \dots, (n, t_n)$  is known in advance for an optimal schedule, where  $i = 1, \dots, n$  is a slot number and  $t_i$  is the starting time of slot  $i$ , then the desired schedule can be constructed by the earliest due date rule.
3. The only situation when job  $J_j$  follows  $J_i$  with  $d_j < d_i$  is the situation when  $J_i$  is processed within  $]r_j - p, r_j + p[$ , i.e., the starting time of  $J_i$  is before  $r_j$ .
4. A profile is defined as a vector  $(a_1, \dots, a_m)$ , where  $a_i \in \{r_j + zp \mid z \in \{0, \dots, n\}, j \in \{0, \dots, n\}\}$ , and  $\max\{a_1, \dots, a_m\} - \min\{a_1, \dots, a_m\} \leq p$ . In an optimal schedule, for any job  $J_j$  scheduled at  $t_j$ , only a profile  $a$  with  $t_j \in \{a_1, \dots, a_m\}$  can be prescribed.
5.  $k[a, b]$  is defined as the set of early jobs from  $\{J_1, \dots, J_k\}$  scheduled between the profiles  $a$  and  $b$ , and  $W_k[a, b]$  is the maximal weight for such a set.

Now, dynamic programming can be applied by using the formulas

$$W_k[a, b] = \max\{W'_k[a, b], W_{k-1}[a, b]\} \text{ if } \max\{a_1, \dots, a_m\} - p \leq r_k < \min\{b_1, \dots, b_m\},$$

$$\text{and } W_k[a, b] = W_{k-1}[a, b] \text{ if } r_k \notin [\max\{a_1, \dots, a_m\} - p, \min\{b_1, \dots, b_m\}[,$$

$$\text{where } W'_k[a, b] = \max_{\substack{x_1, \dots, x_m \in \\ [r_k, d_k - p]}} \{W_{k-1}[a, x] + w_k + W_{k-1}[x', b]\}.$$

**2.1.16** In [12], an  $O(n^5)$  algorithm was proposed for problem  $1 | r_j, p_j = p | \sum U_j$ . This algorithm is analogous to the algorithm from [2], however, the authors do not use  $W_k[a, b]$  but  $w_k[a, B]$ , i.e., for the given  $w, k$  and  $a$ , the authors minimize  $B$ . For the single machine case,  $B$  is the length of the considered subschedule. Thus, they define:

1.  $k[a, \cdot]$  is the set of jobs from  $\{J_1, \dots, J_k\}$  such that  $r_j \geq a$  holds,
2.  $w_k[a, B]$  equals the minimal value  $B$  such that it is possible to execute  $w$  jobs from  $k[a, \cdot]$  in the time interval  $[a + p, b]$ .

**2.1.17** In [33], a polynomial time algorithm was proposed for problem  $P \mid r_j, p_j = p, D_j \mid L_{max}$ . It is based on a binary search technique and on the fact that the number of possible starting points is polynomial in the problem size.

**2.1.18** In [13], the case with identical jobs and uniform parallel machines has been considered, i.e., when each machine has some given speed. It has been shown how to solve problems  $Q \mid r_j, p_j = p \mid C_{max}$  and  $Q \mid r_j, p_j = p \mid \sum C_j$  in  $O(n \log n)$  and  $O(mn^{2m+1})$  time, respectively.

**2.1.19** Note that currently the most interesting open problems are  $P \mid r_j, p_j = p \mid \sum U_j$  and  $P \mid r_j, p_j = p, D_j \mid \sum w_j C_j$ .

## 2.2 Some generalizations

**2.2.1** In [6], a dynamic programming approach was used to solve polynomially problem  $Pm \mid r_j, p_j = p \mid \sum f_j$ , where  $\sum f_j$  is an objective function depending on the completion times  $C_j$  such that  $f_j$  is non-decreasing and  $f_i - f_k$  is monotonic. Note that both classical criteria  $\sum w_j C_j$  and  $\sum T_j$  can be described in such a way.

**2.2.2** In [13], the case with identical jobs and uniform parallel machines has been considered, i.e., when each machine has some given speed. It has been shown how to solve problems  $Q \mid p_j = p \mid \sum \varphi_j$  and  $Q \mid p_j = p \mid \max \varphi_j$  in  $O(n^2)$  time, where  $\max \varphi_j = \max_{1 \leq j \leq n} \varphi_j(C_j)$ , and  $\varphi_j, j = 1, \dots, n$ , are non-decreasing functions in the job completion times.

**2.2.3** In [23], a linear programming approach was proposed for problem  $P \mid r_j, p_j = p \mid \sum f_j$ , where  $\sum f_j$  is the objective function from [6], i.e.,  $f_j$  depends on the completion time  $C_j$  such that  $f_j$  is non-decreasing and  $f_i - f_k$  is monotonic.

The approach for problem  $P \mid r_j, p_j = p \mid \sum f_j$  is analogous to that from [11] and consists in minimizing

$$\sum_{i=1}^z \sum_{j=1}^n f_j(D(I_i)) x_{ji}$$

subject to (1), (2),

$$x_{ji} = 0 \text{ if } R(I_i) < r_j, \quad i = 1, \dots, z, \quad j = 1, \dots, n$$

$$x_{ji} \geq 0, \quad i = 1, \dots, z, \quad j = 1, \dots, n.$$

Remind that  $R(I_i)$  is the left endpoint of the interval  $I_i$ .

**2.2.4** In [24], a polynomial algorithm was developed for problem  $P \mid r_j, p_j = p, D_j \mid \max \varphi_j(C_j)$ , where  $\varphi_j(C_j)$  is any non-decreasing function associated with job  $J_j$  completed at time  $C_j$ . The classical scheduling criteria belonging to  $\max \varphi_j(C_j)$  are the minimization of maximum completion times  $C_{max}$ , the minimization of maximum lateness  $L_{max} = \max_j \{C_j - d_j\}$  and maximum tardiness  $\max T_j = \max_j \{L_j, 0\}$ . A polynomial algorithm for problem  $P \mid r_j, p_j = p, D_j \mid \max \varphi_j(C_j)$  can be briefly described as follows:

Note that the number of intervals available for processing can be polynomially bounded. Therefore, the possible number of different values  $\varphi_j(D(I_i))$  is polynomially bounded,

too. Take any  $F = \varphi_j(D(I_i))$  for some  $i$  and  $j$ . Consider the following feasibility problem:

$$\begin{aligned} \sum_{i=1}^z x_{ji} &= p, \quad j = 1, \dots, n \\ \sum_{j=1}^n x_{j,i+1} + \dots + \sum_{j=1}^n x_{j,i+y} &\leq mp, \quad i = 0, \dots, z-y \\ x_{ji} &= 0 \text{ if } R(I_i) < r_j \quad i = 1, \dots, z, \quad j = 1, \dots, n \\ x_{ji} &= 0 \text{ if } \varphi_j(D(I_i)) > F \\ x_{ji} &\geq 0, \quad i = 1, \dots, z, \quad j = 1, \dots, n \end{aligned}$$

It is possible to find a solution for the above problem such that  $x_{j,i} \in \{0, p\}$ . At the same time, the obtained solution can be considered as a solution for problem  $P \mid r_j, p_j = p, D_j \mid \max \varphi_j(C_j) \leq F$ . Applying the same procedure for all different values of  $F = \varphi_j(D(I_i))$ , we can choose the minimal value of  $F$ . Since the number of different values  $\varphi_j(D(I_i))$  is polynomially bounded, the proposed algorithm is polynomial.

**2.2.5** In [35], the following problem has been considered. With each of the  $n$  jobs, there is associated a set of intervals. Each interval has a starting time and a finishing time. All data are assumed to be integers. The goal is to construct a feasible schedule so that each job is processed only in one of the prescribed intervals. This problem can be denoted as  $P \mid [r_j^k, D_j^k], p_j = p \mid -$ . It has been shown that the considered problem is unary  $NP$ -hard for the case of one machine and two prescribed intervals for each job by a polynomial reduction from the 3-satisfiability problem.

**2.2.6** In [22], the following problem has been considered. As before, for each job  $J_j$ ,  $j = 1, \dots, n$ , a processing time  $p_j = p$ , a release date  $r_j$ , and a deadline  $D_j$  are given. Besides we suppose that the time interval  $[\min_j \{r_j\}, \max_j \{D_j\}[$  is divided into several intervals  $[t_1, t_2[, [t_2, t_3[, \dots, [t_{T-1}, t_T[,$  where  $\min_j \{r_j\} = t_1 \leq t_2 \leq \dots \leq t_T = \max_j \{D_j\}$ , such that for each interval  $[t_g, t_{g+1}[$  the number of available machines  $m_{g+1}$  is known in advance. Note that we do not fix the concrete set of  $m_{g+1}$  machines, i.e., at two different points of  $[t_g, t_{g+1}[$ , one can use different sets of  $m_{g+1}$  machines. Preemption of processing is not allowed, i.e., the processing of any job started at time  $t$  on one of the identical machines will be completed at time  $t + p$  on the same machine. We want to find a feasible schedule such that the maximal number of machines used by  $J_1, \dots, J_n$  is minimal. The problem has been reduced to the following linear programming problem.

$$\begin{aligned} &\text{Minimize } M \\ &\text{subject to } \sum_{i=1}^z x_{ji} = p, \quad j \in \{1, \dots, n\} \\ &\sum_{j=1}^n x_{j,i+1} + \dots + \sum_{j=1}^n x_{j,i+q} \leq \min\{M, m_{k+1}\}p, \\ &\text{where } i \in \{0, \dots, z-q\}, \quad q \in \{1, \dots, y\}, \\ &\quad k \in \{1, \dots, T-1\}, \\ &\quad I_{i+1} \cap \dots \cap I_{i+q} \cap [t_k, t_{k+1}[ \neq \emptyset \\ &x_{ji} = 0 \text{ if } R(I_i) < r_j, \quad i = 1, \dots, z, \quad j = 1, \dots, n \end{aligned}$$

$$x_{ji} = 0 \text{ if } D_j < D(I_i), \quad i = 1, \dots, z, \quad j = 1, \dots, n$$

$$x_{ji} \geq 0, \quad i = 1, \dots, z, \quad j = 1, \dots, n.$$

If we set  $x_{ji}$  equal to the amount of job  $J_j$  processed in the interval  $I_i$  and  $M$  is the number of machines we want to minimize, then any feasible schedule for the scheduling problem under consideration can be described as a feasible solution of the above linear programming problem.

On the other hand, the solution  $(x^*, M^*)$  obtained for the linear programming problem can be transformed into an optimal solution of the scheduling problem considered in polynomial time.

### 3 Problems with preemptions

Now we consider the following basic problem. There are  $m$  parallel machines and  $n$  jobs, each requiring an identical execution time  $p$ . With each job  $J_j$ , there is associated a release time  $r_j$ . The processing of any job may be interrupted arbitrarily often and resumed later on any machine. The objective is to construct a feasible schedule so as to minimize a given criterion.

**3.1** It has been shown in [38] that problem  $P \mid p_j = 1, prec, pmtn \mid \sum C_j$  is unary NP-hard.

**3.2** In [28], a technique based on linear programming has been developed. The proposed approach allows one to solve problem  $R \mid r_j, pmtn \mid L_{max}$  in polynomial time.

**3.3** Using a pseudopolynomial reduction from numerical matching with target sums, it has been proved that problem  $P \mid p_j = p, pmtn \mid \sum w_j U_j$  is unary NP-hard [9].

**3.4** It has been noted in [2] that the algorithm developed in [28] for problem  $R \mid r_j, pmtn \mid L_{max}$  can be used to solve both problem  $P \mid p_j = p, pmtn \mid \sum U_j$  and problem  $Q \mid p_j = p, pmtn \mid \sum U_j$  in polynomial time.

**3.5** Problem  $P \mid r_j, p_j = p, pmtn \mid \sum U_j$  is unary NP-hard [20]. In fact, in [20] it was proved that problem  $P \mid r_j, p_j = m, pmtn^+ \mid \sum U_j$  is unary NP-hard, here  $pmtn^+$  means that preemptions can be made only at integer time points. However, the proposed NP-hardness proof also works for problem  $P \mid r_j, p_j = p, pmtn \mid \sum U_j$ .

**3.6** It is interesting to note that problem  $P \mid pmtn \mid \sum U_j$  is binary NP-hard [27], however, its complexity status under an unary encoding is still an open question.

**3.7** Problem  $P \mid r_j, p_j = p, pmtn \mid \sum C_j$  has been solved in [1]. It is possible to prove that for problem  $P \mid r_j, p_j = p, pmtn \mid \sum C_j$ , an optimal schedule can be found in the class of schedules, where each job  $J_j$  is processed on machine  $m$  only within an (possibly empty) interval  $[S_{j,m}, C_{j,m}[$ , such that  $C_{j,m} \leq S_{j+1,m}$  for each  $m$  and  $j < n$ , and  $C_{j,m} \leq S_{j,m-1}$  for each  $m > 1$  and  $j$ .

Using such a structure of an optimal schedule, one can formulate the following linear program which will solve problem  $P \mid r_j, p_j = p, pmtn \mid \sum C_j$  in polynomial time.

$$\text{Minimize} \quad \sum_{j=1}^n C_{j,1}$$

$$\text{subject to} \quad S_{j,m} \geq r_j, \quad j = 1, \dots, n$$

$$\sum_{q=1}^m (C_{j,m} - S_{j,m}) = p, \quad j = 1, \dots, n$$



$$S_{j,m} - C_{j,m} \leq 0, \quad j = 1, \dots, n, \quad m = 1, \dots, m$$

$$C_{j,q} - S_{j,q-1} \leq 0, \quad j = 1, \dots, n, \quad q = 2, \dots, m$$

$$C_{j,q} - S_{j+1,q} \leq 0, \quad j = 1, \dots, n-1, \quad q = 1, \dots, m$$

**3.8** Another idea was used in [25] for problem  $Q \mid r_j, p_j = p, pmtn \mid \sum C_j$ . Note that for problem  $Q \mid r_j, p_j = p, pmtn \mid \sum C_j$ , an optimal schedule can be found in the class of schedules, for which  $C_1 \leq C_2 \leq \dots \leq C_n$  holds, i.e., there exists an optimal solution in which the completion times are in the same order as the release times.

Let  $s^*$  be an optimal schedule for problem  $Q \mid r_j, p_j = p, pmtn \mid \sum C_j$  with  $C_1(s^*) \leq \dots \leq C_n(s^*)$ . Further we set  $r_{n+1} = r_n + n \cdot \max_q \{p/s_q\}$ , i.e.,  $r_{n+1}$  is a time point after which no job will be processed. Each  $C_j(s^*)$  belongs to some interval  $[r_i, r_{i+1}]$ . However, if we know for each  $C_j(s^*)$  the corresponding interval  $[r_i, r_{i+1}]$  such that  $C_j(s^*) \in [r_i, r_{i+1}]$ , then an optimal schedule can be easily found using a reduction to a network flow problem, see [29]. Thus, the main question is to know the interval  $[r_i, r_{i+1}]$  for each  $C_j(s^*)$  such that  $C_j(s^*) \in [r_i, r_{i+1}]$ . However, this difficulty can be avoided due to criterion  $\sum C_j$ . For any job  $J_j$ , let the time interval  $[r_i, r_{i+1}]$  be such that  $C_j \in [r_i, r_{i+1}]$ . Taking into account that  $r_1 = 0$ , we obtain  $C_j = (r_2 - r_1) + (r_3 - r_2) + \dots + (r_i - r_{i-1}) + (C_j - r_i)$ . Due to this decomposition, we introduce the completion time of job  $J_j$  for each interval  $[r_i, r_{i+1}]$ .

For each job  $J_j$  with  $j = 1, \dots, n$  and for each interval  $[r_i, r_{i+1}]$  with  $i = 1, \dots, n$ , we define the value  $C(J_j, r_i)$  such that  $C(J_j, r_i) = C_j(s^*)$  if  $C_j(s^*) \in ]r_i, r_{i+1}[$ , but if  $C_j(s^*) \leq r_i$ , then we set  $C(J_j, r_i) = r_i$ , and if  $C_j(s^*) \geq r_{i+1}$ , then we set  $C(J_j, r_i) = r_{i+1}$ . So, for each  $i = 1, \dots, n$ , the values  $r_i \leq C(J_1, r_i) \leq \dots \leq C(J_i, r_i) \leq C(J_{i+1}, r_i) = \dots = C(J_n, r_i) = r_{i+1}$  define a partition of the interval  $[r_i, r_{i+1}]$ . In turn, each interval  $[C(J_{j-1}, r_i), C(J_j, r_i)]$  is completely defined by the jobs processed in it. Thus, we denote by  $v(J_k, M_q, J_j, r_i)$  the part (amount) of job  $J_k$  processed in the interval  $[C(J_{j-1}, r_i), C(J_j, r_i)]$  by machine  $M_q$ , i.e., the total processing time of job  $J_k$  by machine  $M_q$  in the interval  $[C(J_{j-1}, r_i), C(J_j, r_i)]$  equals  $\frac{v(J_k, M_q, J_j, r_i)}{s_q}$  and for any job  $J_k$ , equality  $\sum_{q=1}^m \sum_{i=1}^n \sum_{j=1}^n v(J_k, M_q, J_j, r_i) = p$  holds. The values  $C(J_j, r_i)$ , where  $j = 1, \dots, n$ ,  $i = 1, \dots, n$ , and the values  $v(J_k, M_q, J_j, r_i)$ , where  $i, j = 1, \dots, n$ ,  $k = j, \dots, i$ ,  $q = 1, \dots, m$ , define a feasible solution of the following linear program. For convenience, we introduce  $C(J_0, r_i) = r_i$ .

$$\text{Minimize } \sum_{i=1}^n \left( (C(J_1, r_i) - r_i) + \dots + (C(J_n, r_i) - r_i) \right)$$

subject to

$$r_i = C(J_0, r_i) \leq C(J_1, r_i) \leq \dots \leq C(J_{i+1}, r_i) = \dots = C(J_n, r_i) = r_{i+1},$$

$$\sum_{q=1}^m \frac{v(J_k, M_q, J_j, r_i)}{s_q} \leq C(J_j, r_i) - C(J_{j-1}, r_i),$$

$$\sum_{k=1}^n \frac{v(J_k, M_q, J_j, r_i)}{s_q} \leq C(J_j, r_i) - C(J_{j-1}, r_i),$$

$$\sum_{i=1}^n \sum_{j=1}^n \sum_{q=1}^m v(J_k, M_q, J_j, r_i) = p,$$

where  $i = 1, \dots, n$ ,  $j = 1, \dots, n$ ,  $k = 1, \dots, n$ ,  $q = 1, \dots, m$ ,

$$C(J_j, r_i) \geq 0, \quad i = 1, \dots, n, \quad j = 1, \dots, n,$$

and for  $q = 1, \dots, m$

$$v(J_k, M_q, J_j, r_i) = 0, \quad i = 1, \dots, n-1, \quad j = i+1, \dots, n, \quad k = 1, \dots, n$$

$$v(J_k, M_q, J_j, r_i) = 0, \quad i = 1, \dots, n, \quad j = 1, \dots, i, \quad k = 1, \dots, j-1,$$

$$v(J_k, M_q, J_j, r_i) = 0, \quad i = 1, \dots, n-1, \quad j = 1, \dots, i, \quad k = i+1, \dots, n,$$

$$v(J_k, M_q, J_j, r_i) \geq 0 \quad i = 1, \dots, n, \quad j = 1, \dots, n, \quad k = 1, \dots, n.$$

The above formulation includes  $O(mn^3)$  variables and constraints, i.e., this problem can be polynomially solved. In [25], it has been shown that an optimal solution of the above linear program can be used to obtain an optimal schedule.

**3.9** Problem  $P \mid r_j, pmtn \mid \sum C_j$  has been proved to be unary NP-hard by a reduction from 3-partition in [1].

**3.10** Problem  $P \mid r_j, p_j = p, pmtn \mid \sum w_j C_j$  is unary NP-hard [32].

**3.11** In [21], it was shown that both the problem  $P \mid pmtn \mid \sum T_j$  of minimizing total tardiness on a set of parallel machines with allowed preemptions and problem  $P \mid r_j, p_j = p, pmtn \mid \sum T_j$  of minimizing total tardiness on a set of parallel machines with release dates, equal processing times and allowed preemptions are NP-hard in the ordinary sense.

Moreover, in [21] problem  $Q \mid p_j = p, pmtn \mid \sum f_j$  was considered, where  $f_j$  are convex non-decreasing functions such that  $f_i - f_j$  are all monotonic functions. It is shown that problem  $Q \mid p_j = p, pmtn \mid \sum f_j$  is equivalent to the problem of minimizing a convex-separable function under linear constraints. This representation is used to solve problems  $Q \mid p_j = p, pmtn \mid \sum T_j$  and  $Q \mid p_j = p, pmtn \mid \sum w_j C_j$  in polynomial time.

**3.12** Thus, the minimal open problems are  $1 \mid r_j, p_j = p, pmtn \mid \sum w_j C_j$  and  $P \mid r_j, p_j = p, pmtn \mid \sum T_j$  with respect to an unary encoding.

## 4 Summary

In Tables 1 and 2, we give an overview on the main results mentioned in this review.

**Table 1:** Polynomially solvable and NP-hard problems: Non-preemptive problems

$1 r_j, D_j -$	unary NP-hard [30]	2.1.1
$P p_j = 1, D_j, prec -$	unary NP-hard [39]	2.1.4
$P   p_j = 1, D_j = 3, prec   -$	unary NP-hard [31]	2.1.5
$P   p_j = 1, D_j = 2, prec   -$	solvable in $O(n)$ [31]	2.1.5
$P r_j, p_j = p, D_j -$	solvable in $O(mn^2)$ [36]	2.1.8 & 2.1.9 & 2.1.10
$1 r_j, p_j = p, D_j, prec C_{max}$	solvable in $O(n \log n)$ [18]	2.1.2
$1 r_j, p_j = p, D_j C_{max}$	solvable in $O(n \log n)$ [18]	2.1.2 & 2.1.3
$P2 p_j = 1, prec C_{max}$	solvable in $O(n^3)$ [17]	2.1.6
$P3   p_j = 1, prec   C_{max}$	open	2.1.6
$P   r_j, p_j = p, outtree   C_{max}$	solvable in $O(n \log n)$ [7]	2.1.7
$P   r_j, p_j = p,intree   C_{max}$	unary NP-hard [7]	2.1.7
$P r_j, p_j = p, D_j C_{max}$	solvable in $O(mn^2)$ [36]	2.1.8 & 2.1.9
$P r_j, p_j = p, q_j C_{max}$	reducible to LP	2.1.11
$Q r_j, p_j = p C_{max}$	solvable in $O(n \log n)$ [13]	2.1.18
$Q p_j = p C_{max}$	solvable in $O(n + m \log m)$ [13]	
$Q p_j = p L_{max}$	solvable in $O(n \log n)$ [13]	
$P r_j, p_j = p, D_j L_{max}$	solvable in $O(mn^4)$ [33]	2.1.17
$P r_j, p_j = p, D_j \sum C_j$	solvable in $O(mn^2)$ [36]	2.1.8 & 2.1.9 & 2.1.12 & 2.1.13
$Q r_j, p_j = p \sum C_j$	solvable in $O(mn^{2m+1})$ [13]	2.1.18
$Q p_j = p \sum C_j$	solvable in $O(n + m \log m)$ [13]	
$P r_j, p_j = p \sum w_j C_j$	reducible to LP [10]	2.1.14
$P r_j, p_j = p, D_j \sum w_j C_j$	open	2.1.19
$Q p_j = p \sum w_j C_j$	solvable in $O(n \log n)$ [13]	
$1 r_j, p_j = p \sum T_j$	solvable in $O(n^7)$ [6]	
$P r_j, p_j = p \sum T_j$	reducible to LP [11]	2.1.14
$Q p_j = p \sum T_j$	solvable in $O(n \log n)$ [13]	
$Q p_j = p \max w_j T_j$	solvable in $O(n \log^2 n)$ [13]	
$1   r_j, p_j = p   \sum U_j$	solvable in $O(n^5)$ [12]	2.1.16
$P r_j, p_j = p \sum U_j$	open	2.1.19
$1   r_j, p_j = p   \sum w_j U_j$	solvable in $O(n^7)$ [5]	
$Pm   r_j, p_j = p   \sum w_j U_j$	solvable in $O(n^{6m+1})$ [2]	2.1.15
$Q p_j = p \sum w_j U_j$	solvable in $O(n \log n)$ [13]	
$Pm r_j, p_j = p \sum f_j$	solvable in $O(n^{3m+4})$ [6]	2.2.1
$Q p_j = p \sum \varphi_j$	solvable in $O(n^2)$ [13]	2.2.2
$Q p_j = p \max \varphi_j$	solvable in $O(n^2)$ [13]	2.2.2
$P r_j, p_j = p \sum f_j$	reducible to LP [23]	2.2.3
$P r_j, p_j = p, D_j \max \varphi_j$	reducible to LP [24]	2.2.4
$P   [r_j^k, D_j^k], p_j = p   -$	unary NP-hard [35]	2.2.5
$P   r_j, p_j = p, D_j   m$	reducible to LP [22]	2.2.6

**Table 2:** Polynomially solvable and NP-hard problems: Preemptive problems

$P \mid p_j = 1, prec, pmtn \mid \sum C_j$	unary NP-hard [38]	3.1
$P \mid r_j, pmtn \mid \sum C_j$	unary NP-hard [1]	3.9
$P \mid r_j, p_j = p, pmtn \mid \sum C_j$	reducible to LP [1]	3.7
$Q \mid r_j, p_j = p, pmtn \mid \sum C_j$	reducible to LP [25]	3.8
$1 \mid r_j, p_j = p, pmtn \mid \sum w_j C_j$	open (unary encoding)	3.12
$P \mid r_j, p_j = p, pmtn \mid \sum w_j C_j$	unary NP-hard [32]	3.10
$Q \mid p_j = p, pmtn \mid \sum w_j C_j$	reducible to LP [21]	
$R \mid r_j, pmtn \mid L_{max}$	reducible to LP [28]	3.2
$1 \mid r_j, p_j = p, pmtn \mid \sum U_j$	solvable in $O(n \log n)$ [26]	
$P \mid r_j, p_j = p, pmtn \mid \sum U_j$	unary NP-hard [20]	3.5
$P \mid pmtn \mid \sum U_j$	binary NP-hard [27]	3.6
$Q \mid p_j = p, pmtn \mid \sum U_j$	solvable in $O(n \log^2 n + mn \log n)$ [2]	3.4
$1 \mid r_j, p_j = p, pmtn \mid \sum w_j U_j$	solvable in $O(n^4)$ [4]	
$P \mid p_j = p, pmtn \mid \sum w_j U_j$	unary NP-hard [9]	3.3
$1 \mid r_j, p_j = p, pmtn \mid \sum T_j$	solvable in $O(n^2)$ [37]	
$P \mid r_j, p_j = p, pmtn \mid \sum T_j$	binary NP-hard [21]	3.11
$P \mid r_j, p_j = p, pmtn \mid \sum T_j$	open (unary encoding)	3.12
$P \mid pmtn \mid \sum T_j$	binary NP-hard [21]	3.11
$Q \mid p_j = p, pmtn \mid \sum T_j$	reducible to LP [21]	3.11
$Q \mid p_j = p, pmtn \mid \sum f_j$	reducible to LP [21]	3.11

**Acknowledgements** This work was partially supported by the Alexander von Humboldt Foundation.

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